

# TRANSMITTAL **FORM**

(To be used for all correspondence after initial filing)

| Application Number   | 10/798,714           |  |  |  |
|----------------------|----------------------|--|--|--|
| Filing Date          | March 10, 2004       |  |  |  |
| First Named Inventor | Emerson R. Gallagher |  |  |  |
| Art Unit             | 1746                 |  |  |  |
| Examiner Name        |                      |  |  |  |
| Attorney Docket No.  | 130109.486           |  |  |  |

| ENCLOSURES (check all that apply)   |             |       |   |                                 |                       |  |  |  |  |
|---|-------------|-------|---|---------------------------------|-----------------------|--|--|--|--|
| Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement and Transmittal Cited References Certified Copy of Priority Document(s) Response to Second Missing Parts under 37 CFR 1.52 or 1.53 Response to Missing Parts/Incomplete Application |             |       | Drawing(s) Request for Corrected Receipt Licensing-related Pape Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Addre Declaration Statement under 37 CF 3.73(b) Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table of | Filing<br>ers<br>f<br>ess<br>FR |                       | After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Other Enclosure(s) (please Identify below): The py of Decision The py of Notice of Acceptance of Decision Request The py of Notice to File Missing Testing |  |  |  |
|   |             |       |   |                                 |                       |  |  |  |  |
|   | SIGNATUI    | REC   | OF APPLICANT, ATTOR   | RNEY,                           | OR AG                 |  |  |  |  |
| Firm Name Seed Intellec   |             | ctual | stual Property Law Group PLLC   |                                 | Customer Number 00500 |  |  |  |  |
| Signature   | Ezu         | /     | Wa  |                                 |                       |  |  |  |  |
| Printed Name  | Emily W. Wa |       |   |                                 |                       |  |  |  |  |
| Date June 9, 2006   |             | 16 R  |   | Reg. N                          | О.                    | 50,922   |  |  |  |
| CERTIFICATE OF TRANSMISSION/MAILING   |             |       |   |                                 |                       |  |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.   |             |       |   |                                 |                       |  |  |  |  |
| Signature   | ~~          | Sent  | ent via Express Mail ~~   |                                 |                       |  |  |  |  |
|   |             |       |   |                                 |                       |  |  |  |  |
| Typed or printed n  |             |       |   |                                 | Date:                 |  |  |  |  |

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Emerson R. Gallagher et al.

Application No.

10/798,714

Filed

March 10, 2004

For

FUEL CELL STACKS OF ALTERNATING POLARITY

MEMBRANE ELECTRODE ASSEMBLIES

Art Unit

1746

Docket No.

130109.486

Date

June 9, 2006

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO SECOND NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### Commissioner for Patents:

Applicants respectfully request that the second Notice to File Missing Parts, dated April 12, 2006 ("Second Notice") be withdrawn based on the following:

On June 1, 2004, the U.S. Patent and Trademark Office (PTO) mailed a first Notice to File Missing Parts ("First Notice") for the above-identified application.

On July 28, 2004, Applicants filed their Response to Missing Parts of Nonprovisional Application ("First Response") to the First Notice with all required documents and fees. Enclosed with that First Response was an Information Disclosure Statement ("IDS"). The IDS had as an enclosure only one cited reference, Reference No. AE (Application No. 10/661,033<sup>1</sup>).

<sup>&</sup>lt;sup>1</sup> This application number was incorrectly cited on the IDS. The correct application number is 10/661,093. We filed a Petition to convert to a provisional application on September 10, 2004, but a provisional application number has not been assigned yet.

EXPRESS MAIL NO. EV529790184US

On April 12, 2006, the Patent Office mailed the present second Notice to File Missing Parts ("Second Notice") indicating that Applicants had additional claim fees due for two additional claims. Upon checking on PAIR, Applicants determined that when the Patent Office received the First Response package to the First Notice, the AE Reference was separated by the PTO from the IDS and scanned into the PTO File Wrapper as if it were a substitute specification.

The above-identified application published with the AE Reference specification instead of the specification provided at the time of filing on March 10, 2004. On May 2, 2006, Applicants filed a Request for Corrected Application Publication to correct this error. On May 31, 2006, a Decision was mailed dismissing this request, but conceding to publish *sua sponte* a corrected application publication. A Notice of Acceptance of Publication Request was also mailed. Copies of both the Decision and Notice are attached.

Applicants note that the AE Reference has 22 total claims, whereas the instant application has only 12 total claims. It appears that the Second Notice has been mailed based upon the number of claims in the AE Reference. Accordingly, Applicants respectfully request that the Second Notice be withdrawn and that no fees be required.

Respectfully submitted,

Emerson R. Gallagher et al.

Seed Intellectual Property Law Group  ${\ensuremath{\sf PLLC}}$ 

Emily W. Wagner

Registration No. 50,922

Enclosures:

Decision dated May 31, 2006 Notice of Acceptance of Publication Request

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#### Express Mail No. EV529790184US

#### United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| I | APPLICATION NUMBER FILING OR 371 (c) DATE |            | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |  |
|---|---|------------|-----------------------|------------------------|--|
| Ī | 10/798,714                                | 03/10/2004 | Emerson R. Gallagher  | 130109.486             |  |

00500 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC 701 FIFTH AVE SUITE 6300 SEATTLE, WA 98104-7092 CONFIRMATION NO. 5017 FORMALITIES LETTER

Date Mailed: 04/12/2006

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• Additional claim fees of \$100 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$100 for a Large Entity

- Total additional claim fee(s) for this application is \$100
  - **\$100** for **2** total claims over 20.

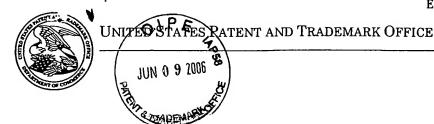
Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 1 - ATTORNEY/APPLICANT COPY



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MAY 3 1 2006

OFFICE OF PETITIONS

Applicant: Gallagher et al. Appl. No.: 10/798,714

SEATTLE WA 98104-7092

Filing Date: March 20, 2004

Title: FUEL CELL STACKS OF ALTERNATING POLARITY MEMBRANE ELECTRODE

**ASSEMBLIES** 

Attorney Docket No.: 61368-223339 Pub. No.: US 2005/0214863 A1 Pub. Date: September 15, 2005

This is a decision on the request for a corrected patent application publication under 37 CFR 1.221, filed on May 2, 2006, for the above-identified application.

The request is **DISMISSED**.

The instant request is that the application be republished because the patent application publication contains the contents of another application that was submitted as part of an information disclosure statement.

37 CFR 1.221 (b) is applicable "only when the Office makes a material mistake which is apparent from Office records.... Any request for a corrected publication or revised patent application publication other than provided as provided in paragraph (a) of this section must be filed within two months from the date of the patent application publication. This period is not extendable." A material mistake must affect the public's ability to appreciate the technical disclosure of the patent application publication, to determine the scope of the patent application publication, or to determine the scope of the provisional rights that an applicant may seek to enforce upon issuance of a patent. <sup>1</sup>

The request for corrected publication received on May 2, 2006, was not timely filed under 37 CFR 1.221(b).

Due to the nature of the errors in this publication, the office will sua sponte publish a corrected patent application publication. The corrected patent application publication will be published in

<sup>&</sup>lt;sup>1</sup>Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57038 (Sept. 20, 2000), 1239, Off. Gaz. Pat. Office Notices 63, 75 (Oct. 10, 2000) (final rule).

Application No.: 10/798,714 Page 2

due course, unless the application is allowed and the patent issues before the application is republished.

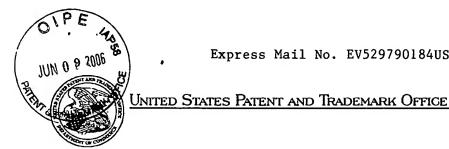
The documents scanned on July 8, 2004, which were improperly indexed as specification, claims and drawings and published have been relabeled as a non-patent literature.

Applicant is reminded that paper copies of pending U.S. Patent Applications are not required for compliance with 37 CFR 1.98. See Waiver of the Copy Requirement in 37 CFR 1.98 for Cited Pending U.S. Patent Applications, 1287, Off. Gaz. Pat. Office Notices 163 (Oct. 19, 2004). The Office had provided a waiver for the former requirement in Sec. 1.98(a)(2)(i) for a copy of each cited U.S. patent or U.S. patent application publication in IDSs submitted in U.S. national patent applications filed after June 30, 2003, and international applications that have entered the national stage under 35 U.S.C. 371 after June 30, 2003, because these applications are stored in electronic form in the Office's IFW system. See Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published applications in Patent Applications filed after June 30, 2003, 1273 Off. Gaz. Pat. Office 55 (Aug. 5, 2003).

Inquiries relating to this matter may be directed to Mark Polutta at (571) 272-7709 (voice).

Mark O. Polutta

Office of Patent Legal Administration Office of the Deputy Commissioner for Patent Examination Policy



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701 FIFTH AVE **SUITE 6300** 

SEATTLE, WA 98104-7092

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LAW GROUP PLLC

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1459 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FIRST NAMED APPLICANT FILING DATE ATTY. DOCKET NO./TITLE 10/798,714 03/10/2004

Emerson R. Gallagher

130109.486

**CONFIRMATION NO. 5017** SEED INTELLECTUAL PROPERTY LAW GROUP PLLC \*OC00000019025849\*

Date Mailed: 05/31/2006

## NOTICE OF ACCEPTANCE OF PUBLICATION REQUEST

The request for voluntary publication, amended publication, early publication, redacted publication, republication, corrected publication or revised publication has been received for this application. The request, including payment of any necessary fee(s), is in compliance with 37 CFR 1.215, 1.217, 1.219 or 1.221.

The projected publication date is 09/07/2006.

Pre-Grant Publication Division 703-605-4283

PART 1 - ATTORNEY/APPLICANT COPY